

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

**THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, UNIVERSITY
OF VIENNA, AND EMMANUELLE CHARPENTIER**

Junior Party

(Applications 15/947,680; 15/947,700; 15/947,718; 15/981,807; 15/981,808;
15/981,809; 16/136,159; 16/136,165; 16/136,168; 16/136,175; 16/276,361;
16/276,365; 16/276,368; and 16/276,374),

v.

TOOLGEN, INC.

Senior Party

(Application 14/685,510).

Patent Interference No. 106,127 (DK)
(Technology Center 1600)

PROPOSED PROTECTIVE ORDER

This proposed protective order governs the treatment and filing of confidential information, including ToolGen's priority statement, in this interference and the information contained therein.

1. The confidential priority statement information shall be clearly marked "PROTECTIVE ORDER MATERIAL."

2. Access to confidential information is limited to the following individuals who have executed the acknowledgment appended to this order:

(A) **Parties.** The parties authorized to sign onto the protective order are:

- For Senior Party: ToolGen, Inc.
- For Junior Party: The Regents of the University of California; University of Vienna; Emmanuelle Charpentier; Caribou Biosciences, Inc.; Intellia Therapeutics, Inc.; CRISPR Therapeutics AG; ERS Genomics Ltd.; TRACR Hematology Ltd., and Howard Hughes Medical Institute.

(B) **Party Representatives.** Representatives for a party in the proceeding, as defined in section 2(A).

(C) **Experts.** Retained experts of a party in the proceeding who further certify in the Acknowledgement that they are not a competitor to any party, or a consultant for, or employed by, such a competitor with respect to the subject matter of the proceeding.

(D) **In-house Counsel.** In-house counsel of a party as defined in section 2(A).

(E) **Other Employees of a Party.** Employees, consultants or other persons performing work for a party as defined in section 2(A), other than in-house counsel and in-house counsel's support staff, who sign the Acknowledgement shall be extended access to confidential information only

upon agreement of the parties or by order of the Board upon a motion brought by the party seeking to disclose confidential information to that person. The party opposing disclosure to that person shall have the burden of proving that such person should be restricted from access to confidential information.

(F) **The Office.** Employees and representatives of the Office who have a need for access to the confidential information shall have such access without the requirement to sign an Acknowledgement. Such employees and representatives shall include the Director, members of the Board and their clerical staff, other support personnel, court reporters, and other persons acting on behalf of the Office.

(G) **Support Personnel.** Administrative assistants, clerical staff, court reporters and other support personnel of the foregoing persons who are reasonably necessary to assist those persons in the proceeding shall not be required to sign an Acknowledgement, but shall be informed of the terms and requirements of the Protective Order by the person they are supporting who receives confidential information.

3. Persons receiving confidential information shall use reasonable efforts to maintain the confidentiality of the information, including:

- (A) Maintaining such information in a secure location to which persons not authorized to receive the information shall not have access;
- (B) Otherwise using reasonable efforts to maintain the confidentiality of the information, which efforts shall be no less rigorous than those the recipient uses to maintain the confidentiality of information not received from the disclosing party;
- (C) Ensuring that support personnel of the recipient who have access to the confidential information understand and abide by the obligation to maintain the confidentiality of information received that is designated as confidential; and
- (D) Limiting the copying of confidential information to a reasonable number of copies needed for conduct of the proceeding and maintaining a record of the locations of such copies.

4. Persons receiving confidential information shall use the following procedures to maintain the confidentiality of the information:

(A) **Documents and Information Filed With the Board.**

- (i) ToolGen may file its priority statement with the Board under seal, together with a non-confidential description of the nature of the confidential information that is under seal and the reasons why the information is confidential and should not be made available to the public.

The submission shall be treated as confidential and remain under seal until a priority phase of this interference is scheduled.

(ii) Where confidentiality is alleged as to some but not all of the information submitted to the Board, the submitting party shall file confidential and non-confidential versions of its submission, together with a Motion to Seal the confidential version setting forth the reasons why the information redacted from the non-confidential version is confidential and should not be made available to the public. The non-confidential version of the submission shall clearly indicate the locations of information that has been redacted. The confidential version of the submission shall be filed under seal. The redacted information shall remain under seal unless, upon motion of a party and after a hearing on the issue, or *sua sponte*, the Board determines that some or all of the redacted information does not qualify for confidential treatment.

(B) Documents and Information Exchanged Among the Parties.

Information designated as confidential that is disclosed to another party during discovery or other proceedings before the Board shall be clearly marked as “PROTECTIVE ORDER MATERIAL” and shall be produced in a manner that maintains its confidentiality.

(C) Standard Acknowledgement of Protective Order.

The following form may be used to acknowledge a protective order and gain access to information covered by the protective order.

[CAPTION]

Standard Acknowledgment for Access to Protective Order Material

I _____, affirm that I have read the Protective Order; that I will abide by its terms; that I will use the confidential information only in connection with this proceeding and for no other purpose; that I will only allow access to support staff who are reasonably necessary to assist me in this proceeding; that prior to any disclosure to such support staff I informed or will inform them of the requirements of the Protective Order; that I am personally responsible for the requirements of the terms of the Protective Order and I agree to submit to the jurisdiction of the Office and the United States District Court for the Eastern District of Virginia for purposes of enforcing the terms of the Protective Order and providing remedies for its breach.

[Signature]